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PATENT 02-13-02

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

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Daryl A. Albus

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Applicant : Julia A. Kornfield et al.
Application No. : 09/828,304
Filed : April 5, 2001
Title : POLYMERS FOR CONTROL OF ORIENTATION
AND STABILITY OF LIQUID CRYSTALS

Grp./Div. : 2818
Examiner : to be assigned

Docket No. : 41727/RAG/C766

INFORMATION DISCLOSURE STATEMENT

Assistant Commissioner for Patents
Washington, D.C. 20231

Post Office Box 7068
Pasadena, CA 91109-7068
January 8, 2002

Commissioner:

In compliance with the duty of disclosure under 37 CFR §§ 1.56, 1.97 and 1.98, and in accordance with the provisions in the Manual of Patent Examining Procedure §§ 609 and 707.05(b), enclosed is FORM PTO-1449 with a listing of references that are known to applicant. Copies of each of the listed references are enclosed.

It is respectfully requested that these references be considered in the examination of this application and identified on the list of references cited on the patent issuing on this application. Applicant also requests that an initialed copy of said FORM PTO-1449 be entered in the application file and returned to applicant with the next communication from the Office in accordance with MPEP § 609.

Applicant's undersigned attorney hereby certifies, in accordance with 37 CFR § 1.97(e)(1), that each item of information contained in the information disclosure statement was first cited

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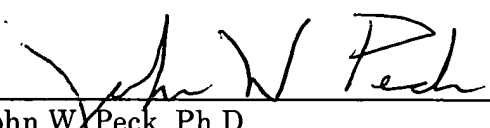
Application No.09/828,304

in any communication from a foreign patent office in a counterpart foreign application not more than three months prior to the filing of the information disclosure statement.

Respectfully submitted,

CHRISTIE, PARKER & HALE, LLP

By


John W. Peck, Ph.D.

Reg. No. 44,284

626/795-9900

JWP/daa

Enclosures: PTO 1449, w/references

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